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PATENT
Attorney Docket No. 066990.0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Nancy GEORGE

Examiner: A. KINDRED

Serial No. 09/627,232

Group Art Unit 2172

Filed: July 27, 2000

Confirmation No. 2324

For: COMPLIANCE DATA MANAGEMENT
SYSTEMS AND METHODS

NOTICE OF APPEAL

RECEIVED

DEC 30 2003

Technology Center 2100

Commissioner for Patents
MAIL STOP AF
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Applicant hereby appeals to the Board of Appeals and Interferences from the decision in the Office Action mailed September 24, 2003, and from the Advisory Action mailed December 4, 2003, wherein the Examiner rejects claims 1-19. Applicant is enclosing a check in the amount of \$165.00 covering the requisite small entity fee for filing a Notice of Appeal fee under 37 C.F.R. §1.17(b) (Fee Code 2401). Nevertheless, in the case of any variance between the fees determined by Applicant and the fees determined by the U.S. Patent and Trademark Office, please charge or credit any such variance to the undersigned's Deposit Account No. 02-0375.

CONCLUSION

Applicant respectfully maintains that this application is in condition for allowance, and such disposition is earnestly solicited. If the Examiner believes that an interview with Applicant's representatives, either in person or by telephone, would expedite prosecution of

12/24/2003 MGE BREM1 00000081 09627232

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165.00 OP

this application, we would welcome such an opportunity.

Respectfully submitted,

BAKER BOTTS L.L.P.

Dated: December 23, 2003

By: 

James B. Arpin

Registration No. 33,470

Baker Botts L.L.P.
The Warner, Suite 1300
1299 Pennsylvania Avenue, N.W.
Washington, D.C. 20004-2400
(202) 639-7700 (telephone)
(202) 639-7890 (facsimile)

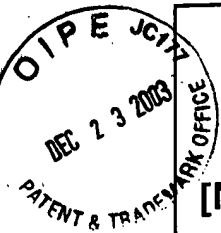
JBA/TJC/dh

Enclosure

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AF/2172
2725



Technology Center 2100

Complete If Known

FEE TRANSMITTAL

[MAIL STOP PATENT APPLICATION]

Application No.		09/627,232																																							
Filing Date		July 27, 2000																																							
First Named Inventor		Nancy George																																							
Examiner Name		A. Kindred																																							
Group Art Unit		2172																																							
Total Amount Of Payment (\$)		165.00																																							
Attorney Docket No.		066990.0102																																							
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																							
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P.</p> <p><input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account No. 02-0375.</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> Surcharge - late filing fee or oath</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Extension for reply with 1 month</td> <td>\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Notice of Appeal</td> <td>\$ 165.00</td> </tr> <tr> <td><input type="checkbox"/> Filing Brief in Support of Appeal</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Request for Oral Hearing</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Utility Issue Fee (or reissue)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Design Issue Fee</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Plant Issue Fee</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petitions to Commissioner</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (unavoidable)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (unintentional)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petitions Related to Provisional Applications</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Submission of Information Disclosure Statement</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Submission After Final Rejection</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Recordation of Assignment Document</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Request for Reexamination</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Other (specify) Advanced Patent Copies</td> <td>\$</td> </tr> </tbody> </table>		Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> Extension for reply with 1 month	\$	<input checked="" type="checkbox"/> Notice of Appeal	\$ 165.00	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petitions to Commissioner	\$	<input type="checkbox"/> Petition to Revive (unavoidable)	\$	<input type="checkbox"/> Petition to Revive (unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input type="checkbox"/> Recordation of Assignment Document	\$	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$
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2. EXTRA CLAIMS FEES																																									
CLAIMS AS AMENDED																																									
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																			
				Large Entity	Small Entity																																				
TOTAL CLAIMS		20	0	x \$ 18.00	x \$ 9.00	\$0.00																																			
INDEPENDENT CLAIMS		3	0	x \$ 86.00	x \$ 43.00	\$0.00																																			
MULTIPLE DEPENDENT CLAIMS				\$ 290.00	\$ 145.00	\$0.00																																			
TOTAL EXTRA CLAIMS FEES						\$0.00																																			
SUBMITTED BY				Complete (if applicable)																																					
Typed or Printed Name		James B. Arpin		Registration No.		33,470																																			
Signature		Date		Deposit Account User ID		02-0375																																			